

TSWG Q&A - 10/24/19

1. Should my firm submit an MEOM when the receiving desk modifies the price of a customer order that was previously routed to another desk within the firm?
2. How should I populate the Organization ID on the CAT Reporter Agreement?
3. Are Industry Members required to have redundant connectivity lines?
4. During the 10/10/19 TSWG Q&A session, FINRA CAT noted that the Options EOD files will be made available at 8pm while the Equity EOD file will be made available at 6pm. Can the EOD files for both Options and Equities be distributed at 6pm?
5. Would FINRA CAT be willing to add Reuters Instrument Codes to the daily symbol master list?
6. Is there a restriction on the number of *handlingInstructions* that can be provided on a CAT event?
7. How should Industry Members populate fields marked “reservedForFutureUse”?
8. Will CAT accept empty Data Files?
9. The *manualFlag* on all options events is greyed out, but states the field is required. Are Industry Members required to populate the *manualFlag* on options events in Phase 2b?
10. The IM Tech Spec states that when the *orderType* is ‘LMT’, *price* is required. How should *price* be populated when the *orderType* is ‘MKT’?