TSWG Q&A - 12/19/19

1. Handling instructions on Order Route events are not required until Phase 2c. Are there any exceptions to this?

2. How should *orderType* be populated for orders that do not have a specific limit price, but do not have special handling instructions with pricing criteria? For example, an order is originated to represent multiple customer orders, but does not have a set limit price.

3. How should *affiliateFlag* on an MENO be populated when both a customer and an affiliated RIA have the ability to trade in a customer account?

4. Prior to February 24, 2020, what feedback will be available on the CAT Reporter Portal for firms submitting test data to CAT using a CAT Reporting Agent?

5. When will firms receive feedback for correction and repair files in the test environment?

6. If I get a new phone, how do I re-map duo to my new device?

7. What is my CAT Submitter ID?

8. When submitting test data, can firms use data for event dates prior to December 16, 2019?