

08.01.19 – CAT Tech Spec Working Group Q&A

- 1) When will additional information regarding onboarding and connectivity be published?
- 2) How should the MEOT be reported if the Tape Trade ID is not known, such as when a firm is on the contra side of a trade reported to the TRF via QSR or AGU?
- 3) How should FDIDs for outside money managers be populated in phases 2a and 2c?
- 4) How should FDIDs be populated when an employee of a firm has discretion?
- 5) How should Industry Members report a change in FDID that was requested by a customer as opposed to a firm error?
- 6) What are the CAT reporting requirements for cancel instructions that are received from a customer after an order is executed (i.e. "too late to cancel")?
- 7) What are the reporting requirements for the cancellation of a route as opposed to the cancellation of an order?
- 8) When is the CancelFlag required on an MEOT event? What if an Industry Member is unable to report cancel information on its initial MEOT submission?
- 9) How should the tradingSession field be populated for orders routed to a foreign market?
- 10) How is "firm" trading interest, as referred to in FAQ B38, defined?
- 11) For GTC orders, is there a time limit for reporting a secondary event without having to re-submit the new order?
- 12) Are private lines required for transmitting data to a third party reporting agent?